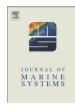
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# Processes influencing formation of low-salinity high-biomass lenses near the edge of the Ross Ice Shelf



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#### ABSTRACT

Both remotely sensed and in situ observations in austral summer of early 2012 in the Ross Sea suggest the presence of cold, low-salinity, and high-biomass eddies along the edge of the Ross Ice Shelf (RIS). Satellite measurements include sea surface temperature and ocean color, and shipboard data sets include hydrographic profiles, towed instrumentation, and underway acoustic Doppler current profilers. Idealized model simulations are utilized to examine the processes responsible for ice shelf eddy formation. 3-D model simulations produce similar cold and fresh eddies, although the simulated vertical lenses are quantitatively thinner than observed. Model sensitivity tests show that both basal melting underneath the ice shelf and irregularity of the ice shelf edge facilitate generation of cold and fresh eddies. 2-D model simulations further suggest that both basal melting and downwelling-favorable winds play crucial roles in forming a thick layer of low-salinity water observed along the edge of the RIS. These properties may have been entrained into the observed eddies, whereas that entrainment process was not captured in the specific eddy formation events studied in our 3-D model—which may explain the discrepancy between the simulated and observed eddies, at least in part. Additional sensitivity experiments imply that uncertainties associated with background stratification and wind stress may also explain why the model underestimates the thickness of the low-salinity lens in the eddy interiors. Our study highlights the importance of incorporating accurate wind forcing, basal melting, and ice shelf irregularity for simulating eddy formation near the RIS edge. The processes responsible for generating the high phytoplankton biomass inside these eddies remain to be elucidated.

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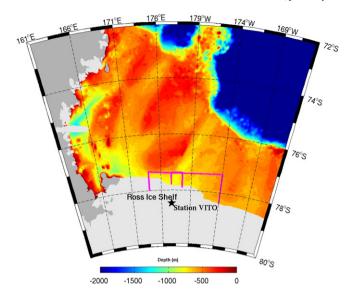
## 1. Introduction

The Ross Ice Shelf (RIS) is the largest ice shelf ( $\sim$ 4.7  $\times$  10<sup>5</sup> km²) in Antarctica, located in the southern Ross Sea (Fig. 1). The RIS region plays host to a number of important physical and biological processes. Air-sea interaction and ice dynamics at the edge of the RIS influence High Salinity Shelf Water (HSSW) formation (MacAyeal, 1984; Orsi and Wiederwohl, 2009), which is a dense water mass that is critical in Antarctic Bottom Water formation (Jacobs et al., 1996; Orsi et al., 2002; Whitworth and Orsi, 2006; Gordon et al., 2009). The frontal region also bridges heat and mass exchanges with the open ocean (Rignot et al., 2013; Depoorter et al., 2013). The Ross Sea is one of the most biologically productive areas in the Southern Ocean (Comiso et al., 1993; Arrigo et al., 1998; Smith et al., 2014), and the RIS delimits the southern boundary of the region of high productivity. Iron supply is thought to regulate primary production in the Ross Sea (Arrigo et al.,

2003; Martin, 1990; Sedwick et al., 2000). Although recent evidence suggests that the iron supply from glacial ice melt constitutes only a small fraction of the iron supply to this region (McGillicuddy et al., 2015), basal melting is a primary pathway for iron supply in other Antarctic polynyas (Arrigo et al., 2015; Gerringa et al., 2012).

Processes at different depth levels make the cavity underneath the RIS a complex ocean environment. Near the ice shelf front, increased melting is facilitated by occasional warm water intrusions (Jenkins and Doake, 1991) from adjacent upper ocean waters that come in contact with the edge of the ice shelf. At mid-depth, melting is caused by intrusion of modified circumpolar deep water (Jacobs et al., 2011, Dinniman et al., 2007, 2012; Klinck and Dinniman, 2010; Pritchard et al., 2012). In the deepest layer, dense HSSW (a product of brine rejection over the continental shelf from ice formation during the winter months), which is at the surface freezing point, can penetrate into the cavity, causing melting near the grounding line due to the depression of the freezing point of seawater with increasing pressure. As the buoyant meltwaters rise along the ice shelf base, they can refreeze at mid-depth due to the increase in freezing point with

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**Fig. 1.** Bathymetric map of the Ross Sea based on Bedmap2 bottom elevation data made available by the British Antarctic Survey (http://nora.nerc.ac.uk/501469/). White contours are the 400 m isobath. The Pentagram indicates the location for Antarctic meteorological station VITO. The permanent ice shelf is shown in light gray, and land in dark gray. Domains of the satellite images depicted in Figs. 2 and 3 are shown as solid magenta lines.

decreasing pressure, producing super-cooled Ice Shelf Water (ISW). All these processes interact with each other at various spatial and temporal scales, making for a complex regime of thermohaline circulation (MacAyeal, 1984, 1985).

Of particular interest from the ecosystem perspective is the penetration of glacial meltwater into the surface waters in the interior of the Ross Sea, as this constitutes a source of iron to upper ocean phytoplankton populations. Although the iron supply from glacial meltwater is thought to be small relative to other sources in the Ross Sea (McGillicuddy et al., 2015), physical processes such as oceanic eddies that affect the glacial meltwater may be locally important to the initiation and spatial distribution of regional phytoplankton blooms. In austral summer of 2012, we observed two anticyclonic eddies emanating from the edge of the RIS northward into the Ross Sea. The eddies contained low-salinity lenses with deep mixed layers (ca. 80 m) and very high biomass of the colonial prymnesiophyte Phaeocystis antarctica (Smith et al., 2017). Our goal is to identify the processes that lead to generation of these eddy features, which will set the stage for future study of the physical-biological interactions leading to the high biomass observed in their interiors.

The primary surface circulation feature along the front of the RIS in this area is a relatively strong, narrow, and fresh coastal current that flows to the west (Jacobs et al., 1970; Keys et al., 1990). A similar westward current is found along the front of the Ronne-Filchner Ice Shelf (Makinson et al., 2006) where mooring observations at depth (as deep as 200–400 m) also suggest the possibility of eddy-driven variability (Nicholls et al., 2003). Knowledge of ocean variability in the near-surface ocean layer near the RIS remains rather limited due the scarcity of data, with relatively few direct observations available (Jacobs et al., 1985; Smethie and Jacobs, 2005). Arzeno et al. (2014) postulated eddies as being responsible for variability in currents underneath the RIS that was uncorrelated with the wind. Although the process of eddy generation has been examined in many oceanic regimes, relatively few studies have focused on instabilities associated with ice-ocean interactions (Clarke, 1978; Chu, 1987; Dumont et al., 2010; Häkkinen, 1986; Niebauer, 1982). In general, glacial meltwater can create horizontal density gradients that force a baroclinic jet (Niebauer, 1982). Häkkinen (1986) found that when across- and along-ice edge spatial scales are similar enough, such baroclinic jets can generate eddy structures along the ice edge, especially when the wind forcing is time-varying between upwelling and downwelling conditions. In a modeling study in Baffin Bay near Greenland, cyclonic eddies were generated at the edge of landfast ice in response to frequent northerly wind forcing (Dumont et al., 2010). All of these studies pointed to the importance of baroclinic jets and wind forcing in forming eddies near the ice edge.

Earlier efforts utilized idealized two-layer ocean models to study how ice-ocean interactions can excite unstable wave forms. Using a semi-analytical quasi-geostrophic model, Clarke (1978) showed that fluctuations in the flow along fast ice can be described as wind-forced trapped long-waves propagating along the ice edge. Chu (1987) used a similar framework, identifying an air-sea interaction feedback mechanism that excites an unstable mode in the presence of curvature in the ice edge. Both Clarke (1978) and Chu (1987) highlighted the importance of considering multiple factors such as ice shelf edge irregularity and background stratification (initial conditions) in studying the instability processes. However, because their models did not consider basal melting, they were not able to capture the structure of low-salinity features near the ice edge.

Our approach is to use high-resolution models with varying levels of complexity to understand the mesoscale phenomena we observed at the edge of the RIS. In Section 2 we present the observations, consisting of both satellite imagery and in situ measurements. We describe the model configuration in Section 3, followed by Sections 4 and 5 showing its implementation in three- and two-dimensional configurations respectively. The former is used to investigate the process responsible for eddy generation, whereas the latter provides insight into the mechanisms responsible for the thickness of the low-salinity surface layer. Section 6 offers further analysis and discussion of the dynamics via sensitivity analyses. A summary and conclusions are presented in Section 7.

### 2. Observations

# 2.1. Satellite imagery

A sequence of satellite images in January 2012 captured the signature and evolution of several eddies near the RIS. On January 22, there were a number of cold eddies along the edge of the ice shelf (Fig. 2a), including some that were already separated from the RIS (e.g., near 177.5°E), and some that remained connected to the ice shelf edge (e.g., Eddy 1 and Eddy 2). Eddy 1 (radius ~12 km) was flanked by warm anomalies to the east and northwest. Eddy 2 was slightly smaller (radius ~8 km), separated from Eddy 1 by a warm filament protruding south to the edge of the RIS. Satellite ocean color imagery indicated a ca. 20 km wide strip of low chlorophyll a (Chl-a) concentrations extending along the edge of the RIS, with much higher concentrations to the north (Fig. 2b). The signatures of Eddies 1 and 2 in ocean color are barely discernible in the January 22 image as northward perturbations to the frontal boundary separating high and low Chl-a.

Three days later, the two eddies had moved away from the RIS and evolved in the process (Fig. 3). Both eddies appear to be warmer, and have similar radii that are still larger than the local Rossby deformation radius (~5 km). Eddy 1 moved north-northwest, whereas Eddy 2 moved northwest, narrowing the gap in between them. Both eddies propagated westward, as is commonly the case due to meridional variation in the Coriolis parameter (Cushman-Roisin et al., 1990), although the background westward flow in this region may play a crucial role. Compared to three days prior, Eddy 1 took on a more circular shape. By January 25, Eddy 1 had almost completely separated from the RIS, connected to the shelf edge by only narrow cold filaments running southwest from the southern flank of the eddy and south from the eastern flank of the eddy (Fig. 3a). The warm anomaly previously to the east of Eddy 1 on January 22 appeared to have been swept southwestward by January 25. However, this movement is opposite in

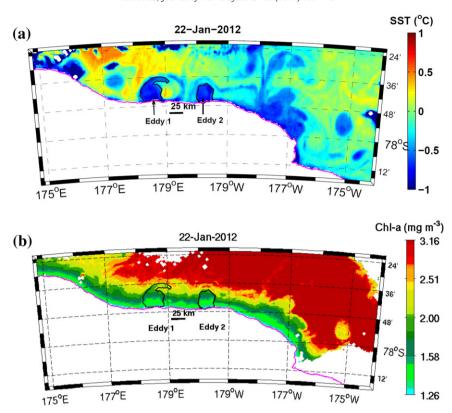


Fig. 2. Moderate Resolution Imaging Spectroradiometer (MODIS) level 2 (1 km resolution) SST (panel a) and Chl-a (panel b) images for January 22, 2012. Black lines outline the two ice shelf eddies, and magenta lines represent the ice shelf edge estimated from MODIS true color image for January 2012. Satellite data provided by NASA's Goddard Space Flight Center (http://modis.gsfc.nasa.gov/data/).

direction to that caused by the eddy's counterclockwise rotation (see shipboard velocity observations described in the following section), so if this interpretation is correct then translation of the warm anomaly must have been caused by flow external to the eddy. On January 25, Eddy 2 was still in the process of separation from the ice shelf, with a cold filament linking its southern flank to the cold-water band adjacent to the RIS. As the two eddy features moved away from the RIS into the Ross Sea interior, they became more clearly evident in ocean color imagery as local minima in Chl-a (Fig. 3b).

# 2.2. High-resolution survey of the RIS eddies

Voyage NBP-1201of RVIB *Nathaniel B. Palmer* took place from December 24, 2011 to Feb. 5, 2012. A multi-scale survey of the Ross Sea was carried out, and herein we focus on a subset of the observations collected in the vicinity of the RIS. High-resolution cross-sections of Eddies 1 and 2 were obtained with the Video Plankton Recorder (VPR; Davis et al., 1992), providing conductivity, temperature and depth (CTD) observations, along with fluorescence measurements and plankton imagery. Underway Acoustic Doppler Current Profiler (ADCP) current velocity observations were collected along the ship track with an RD Instruments NB150. Temperature, salinity, and fluorescence profiles were obtained with a SeaBird Electronics 911 CTD and standard Rosette system.

A VPR survey of Eddies 1 and 2 was conducted on January 26 (magenta line, Fig. 3). The ship track started from the west and cut through Eddy 1. It then passed across the frontal area between the two eddies, penetrated into the interior of Eddy 2, and subsequently turned southeastward. Along-track ADCP data suggests that both eddies are anticyclonic (counterclockwise rotation), although the eastern flank of Eddy 2 was not surveyed and thus the closed circulation of that feature cannot be validated. Also note that the eddies were moving fast enough that we do not expect exact matchup of the in situ

observations with the satellite image due to differences in timing e.g., the northward swirl on the eastern flank of Eddy 1 observed with the shipboard ADCP is outside the eddy core depicted in the satellite data. The VPR data revealed that the cores of both eddies contained low-salinity lenses extending from the surface to 80–120 m (Fig. 4b). The surface layer temperature was 0.6 °C lower than outside of the eddies (Fig. 4a). The mixed layers within the eddies were deeper than outside, where the surface layer of relatively warm and salty water was only about 45 m deep (Fig. 4a, b). The cold and fresh lenses in the eddy interiors contained higher fluorescence than ambient waters sampled west of Eddy 1 (Fig. 4c), consistent with high abundance of P. antarctica colonies identified in the VPR imagery (Smith et al., 2017). Highest fluorescence along the ship track occurred at the frontal boundary on the western flank of Eddy 2 (where the flow is southward as suggested by along-track ADCP, Fig. 3), although the mechanism responsible for that submesoscale enhancement of fluorescence remains unknown.

It is interesting to note that the lenses of high fluorescence revealed by the VPR data (Fig. 4c, d) were manifested as local minima in Chl-a in the satellite imagery (Fig. 3). Caution needs to be taken in comparing in situ fluorescence and satellite data for several reasons. First, the algorithm for retrieving MODIS Chl-a is based on water leaving irradiance (Clark, 1997), and the Chl-a concentration reflects a weighted average over the upper 1–2 optical depths. Second, remotely sensed Chl-a can also be contaminated by other dissolved and particulate materials (Carder et al., 2004). Third, in situ fluorescence can be reduced by the 'quenching effect' (e.g., Falkowski and Kolber, 1995) when photosynthetic reaction centers are saturated with ambient light, such as typically occurs in the upper euphotic zone when daylight is abundant, which was always the case during the cruise season.

Despite these caveats, it is still of interest to make direct comparisons between the VPR observations of fluorescence and the satellite retrievals of Chl-a. In order to compare the VPR observations with

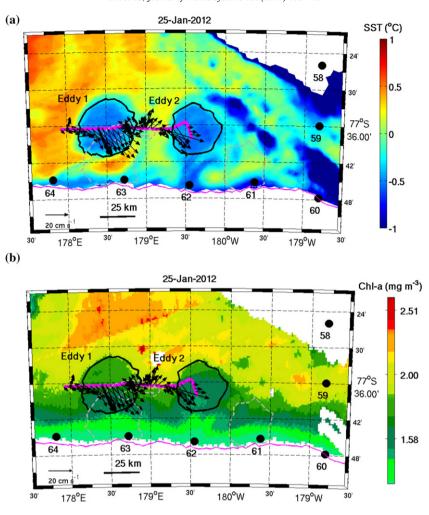


Fig. 3. Zoom in view of MODIS level 2 SST and Chl-a images for January 25, 2012. Note that for visualization purposes, the Chl-a concentrations along swaths are projected into a uniformly spaced longitude-latitude coordinate. The thick magenta lines indicate the cross-eddy transect on January 26, 2012 depicted in Fig. 4, and thinner magenta lines represent the ice shelf edge estimated from MODIS true color image for January 2012. Black solid (gray dashed) lines outline the two ice shelf eddies on January 25 (22), and black dots indicate CTD station locations (58–64) during the Ross Ice Shelf survey January 25–26, 2012. Vectors stand for the depth-averaged current over top 150 m during the survey. Tidal currents in this area are an order of magnitude smaller than the observed current (Erofeeva et al., 2005) so the ADCP data have not been detided. Velocity scale factor is shown at the lower left.

satellite data, one needs to take into account the vertical extent over which the satellite observations pertain. The optical depth can be estimated based on an inverse relationship with the attenuation coefficient of downward irradiance ( $K_d$ ) for blue light (http:// oceancolor.gsfc.nasa.gov/cms/atbd/kd\_490). Based on satellite data from January 2012,  $K_d$  was within the range of 0.2–0.4 m<sup>-1</sup> near the RIS edge (not shown), indicating an optical depth of ~5 m. Comparison of the VPR fluorescence averaged over upper two optical depths (10 m) and the satellite-based Chl-a concentration extracted along the VPR transect reveals similarity between two variables (Fig. 4d). Both VPR fluorescence and MODIS Chl-a were highest near the frontal region between the two eddies. Clearly, the satellite observations do not reflect the deeper structure of the fluorescence distribution (Fig. 4c) which results in local maxima of depth-integrated fluorescence located at the center of Eddy 1 and at the frontal boundary in between the two eddies (Fig. 4d).

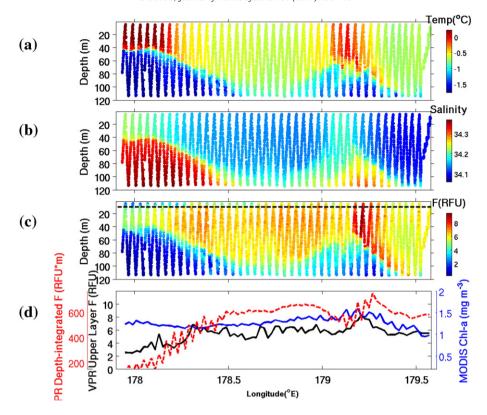
## 2.3. CTD transects

On January 25–26, 2012, two CTD transects were conducted: one along the edge (within several kilometers) of the RIS, and one normal to it (Fig. 3). In general, waters along the RIS tended to be colder and fresher than those to the north (Fig. 5). However, there was considerable variability in properties along the ice shelf. At station 62, there

was a cold and fresh layer extending to nearly 150 m, with patchy fluorescence throughout that interval. This vertical structure is reminiscent of that observed in the interiors of Eddies 1 and 2, with very similar temperature and salinity characteristics.

## 3. Model configuration

The Regional Ocean Modeling System (ROMS, Haidvogel et al., 2008; Shchepetkin and McWilliams, 2005) is used in this study. ROMS is a free-surface, hydrostatic, primitive-equation model that employs splitexplicit separation of fast barotropic and slow baroclinic modes and vertically stretched terrain-following coordinates. An ice shelf module is coupled with the ocean model (Dinniman et al., 2011; Stern et al., 2013). The K-profile parameterization (KPP) turbulence closure scheme (Large et al., 1994) is applied to compute both momentum and tracer vertical mixing. The KPP scheme is modified according to Dinniman et al. (2003), which prevents the mixed layer from unrealistic shallowing during stratified conditions. Sensitivity tests show that altering values for horizontal diffusivity within reasonable ranges have limited impact on the major conclusions herein (Appendix A). Quadratic drag is used to compute the frictional force on water in contact with the bottom and the ice shelf. The model also includes mechanical and thermodynamic interactions between the floating ice shelf and water cavity underneath (Holland and Jenkins, 1999; Dinniman et al., 2011;



**Fig. 4.** Profiles of temperature, salinity and fluorescence (F). In panel (c), the depth of the second optical depth is plotted in black dashed line. F is in relative fluorescence units, or RFU. Note that this relative scale is not the same as that for the CTD observations presented in Fig. 5 from the VPR survey depicted by the magenta line in Fig. 3. Bottom panel shows the weighted VPR fluorescence within the top 10 m (black), MODIS surface Chl-a concentration extracted along the VPR track (blue), and depth-integrated VPR fluorescence (red) within the top 10 m.

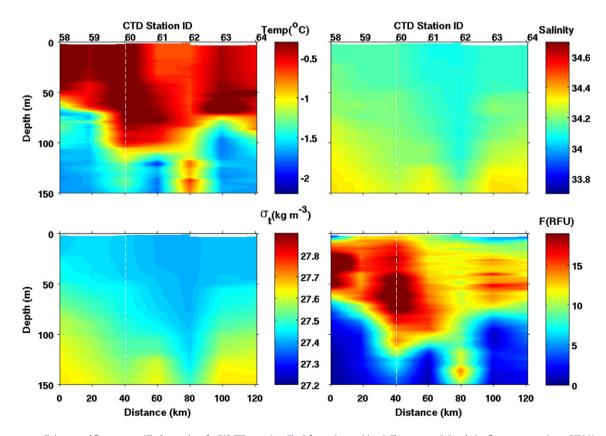


Fig. 5. Temperature, salinity,  $\sigma_t$  and fluorescence (F) observations for RIS CTD casts (see Fig. 3 for station positions). Fluorescence is in relative fluorescence units, or RFU. Note this relative scale is not the same as that for the VPR observations presented in Fig. 4. The white dashed line indicates the station where the transect orientations shift from north-south to east-west.

Stern et al., 2013). A brief description on the parameterization of the ice shelf basal melting is provided in Appendix B. Interested readers are referred to Dinniman et al. (2011) for additional details of the model.

#### 3.1. Model grid and ice shelf

Our idealized 2-D and 3-D models of the RIS utilize the same crossshelf geometry, configured to mimic the average bottom elevation and ice shelf draft in Bedmap2 (Fretwell et al., 2013) data for the area of interest (Fig. 6). This configuration is similar to that used in another idealized modeling study (Gwyther et al., 2015). It reflects a representative average of the many years the data that were collected to form Bedmap2, rather than the specific details of the geometry present in January 2012 when the eddies of interest were observed. Both our 2D and 3D models have 100 vertical layers, and horizontal grid resolution of 500 m in the along-ice shelf and cross-ice shelf direction (referred to as the X- and Y-directions, respectively). The horizontal resolution is approximately one order of magnitude smaller than the first baroclinic Rossby radius of deformation, thus making it suitable for simulating eddy processes at an ice shelf front (Årthun et al., 2013). The bottom is flat with a depth of 600 m. The model domains span 500 km in the cross-ice shelf direction in order to minimize impacts of the open offshore boundary on the processes of interest near the ice shelf. The 3-D model domain spans 100 km in the along-ice shelf direction. See Appendix B for additional information pertaining to accuracy of the pressure gradient calculation in this particular geometry.

# 3.2. Initial and boundary conditions

Both initial and boundary conditions (Fig.6) are spatially uniform based on the vertical temperature and salinity profiles from CTD station

58, the most offshore station for the RIS CTD survey (Fig. 5). This site was chosen to reflect ambient conditions, outside of the immediate influence of the ice shelf. Initial velocities and sea level elevations are set to zero. The selection of the initial condition is ad hoc, as the hydrographic conditions near the edge of the ice shelf were not sampled extensively. Some of the uncertainties associated with the initial condition are assessed in Section 6.

A closed wall is imposed on the southern boundaries of the model domains. In the north, open boundary conditions are applied to tracers following the method of Marchesiello et al. (2001), with the external values provided by CTD station data. At the open northern boundary of both models, a 20-point sponge layer provides enhanced viscosity and diffusivity to suppress numerical noise generated by wave reflection. The 2-D model actually includes six grid points in the along-ice shelf direction, but periodic boundary conditions yield a solution that is effectively 2-D. Periodic boundaries are also used in the 3-D model, but its 100 km extent in the along-ice shelf direction allows energetic mesoscale flows to develop. No tidal forcing is included in the model.

## 3.3. Surface forcing

Wind forcing is from the Antarctic meteorological station VITO on the Ross Ice Shelf (Fig. 1). The three hourly wind speed from VITO is converted to wind stress based on the formulation of Large and Pond (1981), and the wind forcing is assumed to be spatially uniform over the entire model domain. We compared the VITO record (Fig. 7) with shipboard wind measurements during the time period when the RIS area was being sampled (January 23–26). Both sources indicated southerly flow during that period, although the winds measured at sea were at times up to 50% stronger (not shown). This comparison confirms the VITO winds are appropriate forcing for the model

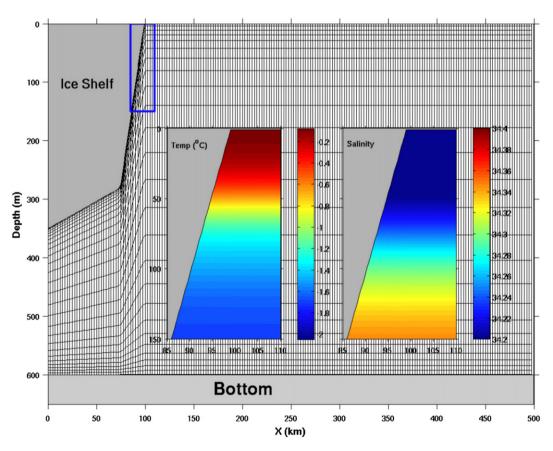


Fig. 6. Cross-shelf view of ice shelf configuration and model grid. The grids are decimated such that each cell represents 5 by 4 grid points. Insets show zoomed-in views of the temperature and salinity profiles in the initial condition on the upper part of the ice shelf (blue rectangle).

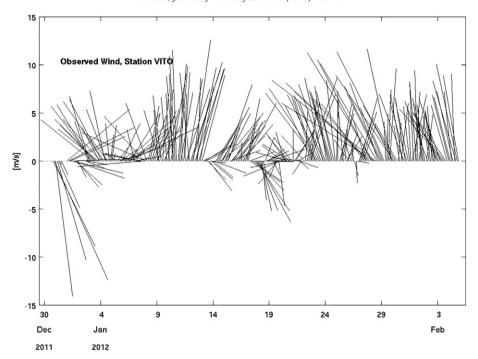


Fig. 7. 3-hourly 10-m wind record from meteorological station VITO (see Fig. 1 for station location).

simulations; the impact of the apparent increase in winds over the ocean is addressed in sensitivity experiments described in Section 5. There is no surface flux of fresh water. A constant surface net heat flux of 35 W m $^{-2}$  into the ocean is specified, which is the mean value for the month of January from the 3-D model simulations of the Ross Sea described in Dinniman et al. (2011). As we are simulating summer ice-free conditions, the sea ice module available in ROMS is turned off.

# 3.4. Ross Ice Shelf edge roughness in the 3-D model

To implement realistic roughness in the edge of the RIS, we first digitized the edge from the Bedmap2 ice thickness (http://nora.nerc. ac.uk/501469/). Second, those roughness elements were projected onto the straight ice shelf edge in our idealized geometry. The characteristic ice shelf edge roughness length scales of 5–20 km (Fig. 8c-f) are well resolved by the 1 km resolution Bedmap2 database. These roughness scales are not inconsistent with those present in the MODIS imagery (Figs. 2 and 3). This suggests that roughness derived from Bedmap2 is suitably realistic, despite being derived from a longer-term average rather than an instantaneous snapshot.

# 4. Numerical simulation of RIS eddies

Both satellite imagery and the in situ VPR observations documented the presence of the cold and fresh eddies near the RIS edge. It is therefore of interest to explore the generation mechanisms responsible for their formation. To do this, we design three experiments evaluating the relative importance of three factors: ice shelf roughness, basal melting, and surface wind stress (Table 1). Except where otherwise noted, the 3-D simulations are run for 25 days.

The baseline case includes a straight ice shelf, wind forcing, and basal melting (run SIS + WIND + BM). Our basal melt rate for the ice shelf front (between 6–16 km of the ice shelf edge) in the model is 1.0  $\pm$  0.4 m yr $^{-1}$ , similar to the estimate by Arzeno et al. (2014) using mooring observations (1.2  $\pm$  0.5 m yr $^{-1}$ ). The estimated basal melt rate between 1–5 km of the ice shelf edge from our model is 3.2  $\pm$  0.9 m yr $^{-1}$ , and is comparable to the estimate of Horgan et al. (2011) for that region based on satellite altimetry (~2.1  $\pm$  0.9 m yr $^{-1}$ ).

A cold and fresh boundary layer forms at the edge of the ice shelf due to basal melting, generating a baroclinic jet (Fig. 8 a, b), a feature similar to that described by Niebauer (1982) for a marginal sea ice edge. This is also consistent with a westward coastal jet found near the RIS front (Jacobs et al., 1970; Keys et al., 1990). Variations in surface velocity in the interior of the model domain away from the baroclinic jet at the edge of the ice shelf are driven by wind forcing. In this experiment, we see no evidence for generation of the types of mesoscale structures we observed (Figs. 2 and 3), even when the model was run out for an additional 60 days (not shown).

When the straight ice shelf is replaced with an irregular one (run IIS + WIND + BM), mesoscale instabilities develop in the boundary current, shedding cold and fresh eddies into the interior (Fig. 8 c, d). The eddies are larger than the spatial scale of ice shelf irregularities, and therefore the eddy structure is not expected to be set by the ice shelf roughness. Indeed, we expect the eddy scale to be related to the Rossby radius of deformation. We performed a sensitivity experiment in which the amplitude of the roughness perturbations was reduced to 1/8 of the realistic case, and found similar sized eddies were created on the same time scales (not shown). This suggests that our results are not particularly sensitive to the details of the specified roughness.

To quantify the importance of wind forcing, the experiment was repeated with wind forcing turned off (run IIS + BM). Instability in the boundary current persists (Fig. 8 e, f), generating cold and fresh eddies similar those present in run IIS + WIND + BM (Fig. 8 c, d). Thus wind forcing is not necessary for eddy formation, although it does make the resulting eddies more energetic (Cf. Fig. 8c, d and e, f), with a domain-wide eddy kinetic energy 60% larger than the no-wind case at model day 25. Additional experiments were conducted to determine the degree to which the results were sensitive to the phasing of the wind fluctuations. This was accomplished by starting the simulations at different times during the wind record. Although the detailed evolution of the eddy field differed as a result of these variations in forcing, the qualitative behavior was unchanged.

We note that temperatures in these simulations are higher than observed (Cf. Figs. 3, 8). The model is initialized with temperature and salinity profiles observed during the field survey (January 25), and we apply a constant surface heat flux (35 W m $^{-2}$ ) throughout the simulations. It is therefore not surprising that the simulated temperature is

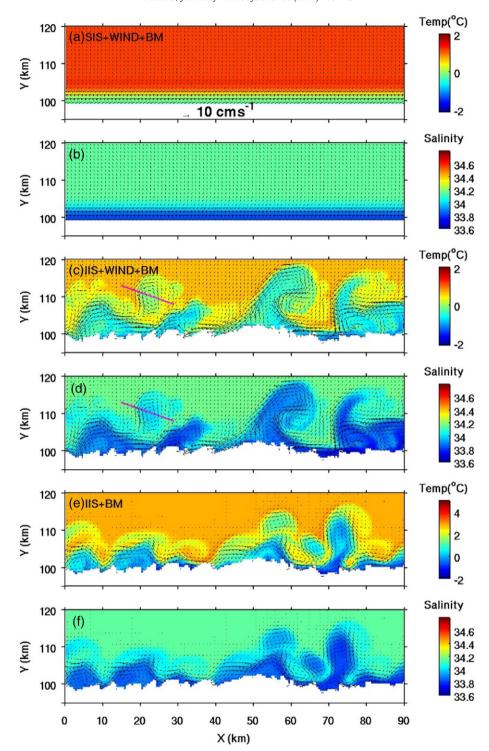


Fig. 8. Snapshots of surface temperature and salinity (surface velocity vectors overlaid) at model day 25 listed in Table 1: (a, b) SIS + BM + WIND; (c, d) IIS + BM + WIND; (e, f) IIS + BM. The magenta line in panels c and d indicates the location of a cross-eddy transect shown in Fig. 9.

**Table 1**List of 3-D experiments performed in this study. In the left column, SIS and IIS stand for "straight ice shelf" and "irregular ice shelf" respectively; BM stands for basal melting.

3-D experiments	Ice shelf edge	Basal melting	Surface wind forcing
SIS + WIND + BM	Straight	ON	ON
IIS + WIND + BM	Irregular	ON	ON
IIS + BM	Irregular	ON	OFF

higher than observed. Our idealized simulations are aimed at understanding eddy formation mechanisms, rather than hindcasting the specifics of the observed conditions.

Pawlak and MacCready (2002) conducted laboratory experiments to study the instabilities associated with oscillatory flow. Their results showed that oscillatory flow along an irregular coastline can change streamlines and vorticity, thus providing a mechanism for transferring anomalies from the boundary to the interior of the flow. The instability and eddies are further found to be linked to the along-shore flow length

scale and roughness length scale, a finding consistent with numerical simulations of Signell and Geyer (1991). With a rougher ice edge with smaller length scale, a stronger eddy-driven flow is present. In our case, a similar mechanism exists. The formation of cold and fresh anomalies due to basal melting first generates an along-shelf flow. The resulting baroclinic current is perturbed by irregular ice shelf edge, triggering instability that forms eddies, which carry the cold and fresh anomalies offshore to the open ocean. The inclusion of wind forcing, though, can modulate the instabilities through wind-induced oscillations in cross-ice shelf flow, thus further changing the timing and detailed structure of eddies. Chu (1987) studied the fast ice-ocean interaction, and found that even without any prescribed external forcing, the most unstable vertical wave mode can be excited by curvatures in the ice edge, which is also consistent with our conclusion here.

To compare with the observed eddies that are separated from the ice shelf on January 25, we sample a cross-section of one of the eddies simulated in run IIS + WIND + BM (Fig. 8 cd). It reveals a lens of cold and fresh water is present in the upper 35 m (Fig. 9). Although the hydrographic structure of the simulated eddy is qualitatively similar to observations, the thickness of the lens is much less than indicated by the VPR survey (Fig. 4). Mechanisms for thickening the lens of cold and fresh water are the subject of the next section.

#### 5. 2-D model simulations

We showed that the cold eddy formation is facilitated by ice shelf roughness and basal melting. Since the thick lenses are observed both within the mesoscale RIS eddies as well as at the RIS edge where the eddies originate, it would therefore be of interest to understand what controls the surface lens thickening at the edge of the ice shelf.

For this purpose, a series of 2-D model sensitivity experiments are carried out with simulations running for 30 days (Table 2). In the first experiment (run WIND), the model is forced by wind only. A strong downwelling-favorable wind event during January 22–28 (Fig. 7) forms a thick layer of relatively warm and fresh waters adjacent to the RIS, composed of surface waters drawn in from offshore and downwelled at the ice edge (Fig. 10 a, f, k). Although the salinity of the downwelled fluid is low, it is not as low as observed (Fig. 5), suggesting glacial melt is involved in freshening of the waters adjacent to the RIS. In the second case (run BM), the wind forcing is turned off and basal melting is turned on. Buoyant convection of meltwater from the underside of the ice shelf leads to a

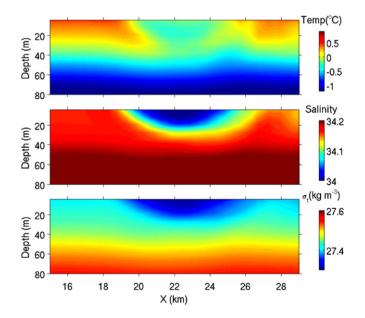


Fig. 9. Vertical transect of temperature, salinity and  $\sigma_t$  for the cross-eddy transect indicated in Fig. 8.

**Table 2**List of 2-D experiments performed in this study. In the left column, HWIND, WS, and BM stand for "high wind stress", "weak stratification", and "basal melting", respectively.

2-D experiments	Basal melting	Surface wind forcing (stress)	Initial condition
WIND BM WIND + BM HWIND + BM WIND + BM + WS	OFF ON ON ON	Observed OFF Observed Observed × 1.5 Observed	CTD 58 CTD 58 CTD 58 CTD 58 CTD 58, constant density below 50 m

cold and fresh layer adjacent to the RIS that depresses the pycnocline by a few tens of meters (Fig. 10 b, g, l). Offshore of this boundary layer, strong stratification develops in surface waters owing to the surface heat flux and lack of turbulent kinetic energy input from the wind. In the third case (run WIND + BM), the combination of wind forcing and basal melt creates a thick ( $\sim$ 65 m) lens of cold and fresh water adjacent to the ice shelf (Fig. 10 c, h, m). With wind forcing restored, stratification of the waters north of the ice shelf is similar to that of the first experiment (Cf. Fig. 10 a, f, k and c, h, m).

Although run WIND + BM generates a layer of cold and fresh water reminiscent of that observed near the ice shelf (Fig. 5), it is not as thick. Two more sensitivity experiments are conducted to assess whether or not this discrepancy between the simulated and observed distributions could be explained by differences in the forcing and initial conditions. First, the wind stress was increased by 50% (run HWIND + BM, Fig. 10 d, i, n). This causes a modest deepening of the cold fresh layer, from ~65 m in run WIND + BM to ~75 m in run HWIND + BM. Second, as the initial condition is rather ad hoc due to limited observations available near ice shelf edge, the temperature, salinity, and density fields in the initial condition were made constant below 50 m, with the observed wind forcing applied (run WIND + BM + WS, Cf. Fig. 10 e, j, o and c, h, m). This reduction in the stratification allows the cold and fresh layer to deepen to 150 m (below the vertical interval shown in Fig. 10). By reducing the buoyancy Richardson number, the same input of turbulent kinetic energy can homogenize a thicker layer of the upper water column (Abarbanel et al., 1984), thus providing more deepening of the mixed layer by the same amount of surface stress (Trowbridge, 1992).

## 6. Discussion

The 3-D numerical experiments highlight the role of irregularities in the geometry of the ice shelf edge in stimulating eddy formation. The propagation of these eddies away from the RIS can facilitate the lateral transport of glacial meltwater and *P. antarctica* blooms into the interior of the Ross Sea. Our results are consistent with the previous findings by Chu (1987), which showed in a semi-analytical framework that unstable wave modes can be excited by along-ice shelf curvatures. This mechanism of eddy formation is also similar to that found in tidal regimes. Both numerical models (Signell and Geyer, 1991) and laboratory observations (Pawlak and MacCready, 2002), illustrate how irregularities in geometry such as ridges and/or headlands can facilitate flow separation, causing vortices to be injected into the ocean interior. The origin of the cold and fresh near-surface layer adjacent to the RIS can be attributed to basal melting, as evidenced by comparison of the 2-D experiments with and without this effect. However, the properties of this lens may be influenced by other processes not simulated by the model. For example, formation of frazil ice can make the ice shelf water even more buoyant (Jenkins and Bombosch, 1995; Smedsrud and Jenkins, 2004). Moreover, along-ice shelf variability in the RIS plume may be driven by a host of factors, including spatial variations in the cavity geometry, atmospheric forcing, and basal melting rate.

Downwelling-favorable winds were shown to be an important agent of thickening the near-surface lens of cold and fresh water at the edge of the ice shelf. In order to ascertain the degree to which such conditions

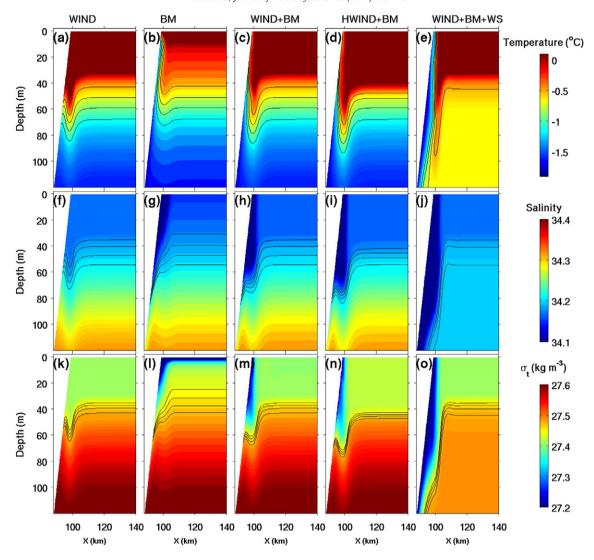


Fig. 10. Snapshots of temperature, salinity and  $\sigma_t$  on model day 29.5 for 2-D experiments listed in Table 2: (a, f, k) WIND; (b, g, l) BM; (c, h, m) WIND + BM; (d, i, n) 2D HWIND + BM; (e, j, o) 2D WIND + BM + WS. Solid contours highlight key isotherms (-1.1 to -0.5 °C at intervals of 0.2 °C), isohalines (34.18 to 34.21 at intervals of 0.01), and isopycnals (27.45 to 27.48 kg m<sup>-3</sup> at intervals of 0.01 kg m $^{-3}$ ).

may prevail at the RIS during summertime, we compute the cumulative upwelling index for each January during the period 2010–2014. First, the upwelling index (UI) is computed according to UI =  $\frac{\tau_x}{\rho f}$  (i.e., the offshore component of the Ekman transport) following the method of Schwing et al. (1996), where  $\tau_x$  is the alongshore component of wind stress calculated using the Large and Pond (1981) scheme, and f is the local Coriolis parameter. Positive (negative) UI represents upwelling (downwelling) favorable wind conditions. The cumulative UI (CUI) is then computed by integrating the resulting UI over time (i.e.,  $CUI = \int UI \ dt$ ) between January 1 and January 31 of each year. The slope of CUI is particularly informative, in that the most downwelling-favorable wind conditions are represented by the steepest descending trend shown in CUI. In contrast, a rising trend in CUI indicates that upwelling-favorable wind (negative UI) becomes more dominant.

All Januaries in the time period examined exhibited downwelling-favorable wind conditions (Fig. 11). However, there is significant interannual variability—and our observations were collected during a time period when the downwelling-favorable aspect of the wind was relatively modest. We therefore conclude that wind-forced thickening of the cold and fresh layer may be a frequent occurrence in this regime. However, other mechanisms such as variations in the basal melting rate could be as important or perhaps even more so.

# 7. Summary and conclusions

In situ observations along with numerical model simulations were used to investigate the dynamics of cold and fresh eddies near the edge of the Ross Ice Shelf that contained high phytoplankton biomass, dominated by *P. antarctica* (Smith et al., 2017).

Idealized 3-D model simulations were able to generate eddies near the RIS with properties similar to those observed. Sensitivity experiments showed that basal melting can produce a cold and fresh plume adjacent to the RIS, which accelerates a baroclinic current. In the presence of irregularities in the edge of the ice shelf, that current becomes unstable and sheds eddies with the cold and fresh water mass properties of the plume. The plume is consistent with previous findings of Niebauer (1982), and the eddy formation mechanism is similar to results from previous numerical simulations (Chu, 1987; Signell and Geyer, 1991) and laboratory experiments (Pawlak and MacCready, 2002). However, in our simulations the cold and fresh lenses were quantitatively shallower than observed by VPR (35 m vs. 80 m). Idealized 2-D model simulations were then used to investigate the processes that can deepen the cold and fresh surface layer adjacent to the RIS. Wind-induced downwelling can deepen the layer, and the magnitude of this effect depends on the strength of the wind and the

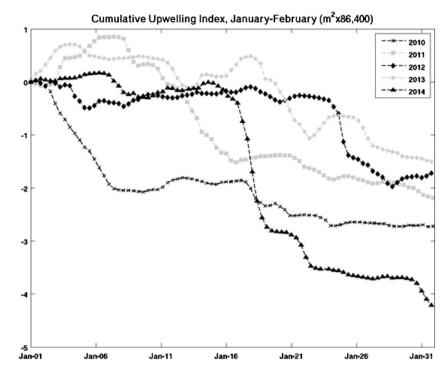


Fig. 11. Cumulative Upwelling Index (CUI) for the Januaries in 2010–2014.

underlying stratification-neither of which are particularly well constrained by available data. Sensitivity experiments using the 2-D model document that plausible perturbations to the wind stress and stratification can result in formation of a cold and fresh layer with a vertical extent similar to that observed near the edge of the RIS and in the RIS eddies. A follow-up 3-D simulation with reduced stratification produces thicker lenses within the simulated eddies (not shown). However, we note that the observations document substantial alongice shelf variability in the density structure. Thus, the thickness of the cold and fresh layer entrained into RIS eddies will vary depending on ambient conditions present at the time of eddy formation. Although our idealized 3-D simulations did not produce eddies with lenses as thick as those observed, we expect that a model with more realistic along-ice shelf variability in water masses, subsurface ice shelf roughness, stratification, and surface forcing (wind and heat flux) would do just that. Lateral fluxes associated with these eddies may be important in transporting physical, chemical and biological properties from the RIS into the interior Ross Sea.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at http://dx. doi.org/10.1016/j.jmarsys.2016.07.002.

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